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# SPECTRA OF METRIC GRAPHS AND CRYSTALLINE MEASURES

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JOINT WORK WITH P. KURASOV

X A COMPACT RIEMANNIAN MANIFOLD

Δ THE LAPLACIAN OF FUNCTIONS

SPECTRUM:  $\Delta \phi + k^2 \phi = 0$

$\text{SPEC}(X) = \{k\}$  DISCRETE IN R  
SATISFYING WEYL ASYMP.

• CHAZARAIN ; DUISTERMAAT / GUILLEMIN  
DETERMINE THE SINGULAR SUPPORT OF THE  
TEMPERED DISTRIBUTION ; THE "WAVE TRACE"

$$\widehat{\mu}_x(t) = \text{TRACE}(\alpha \cos(\sqrt{\Delta} t)); \mu_x := \sum_{k \in \text{SPEC}(X)} \delta_k,$$

IN TERMS OF THE CLOSED ORBITS OF THE  
GEODESIC FLOW ON  $T_1^*(X)$ . HERE  $\delta_s$  IS  
THE DIRAC POINT MASS AT s.

• IF X HAS BOUNDARY OR IS SINGULAR  
THE ANALYSIS OF THE PROPAGATION OF  
SINGULARITIES IS MUCH MORE DELICATE  
( MELROSE , ... )

12

EXAMPLE  $X = \mathbb{S}^1 = \mathbb{R}/\mathbb{Z}$  WITH ARC LENGTH  
 $\text{Spec}(X) = \mathbb{Z}$  ;  $\phi_m(x) = e^{2\pi i mx}$ .

SUMMATION FORMULA IS THE CLASSICAL POISSON SUM

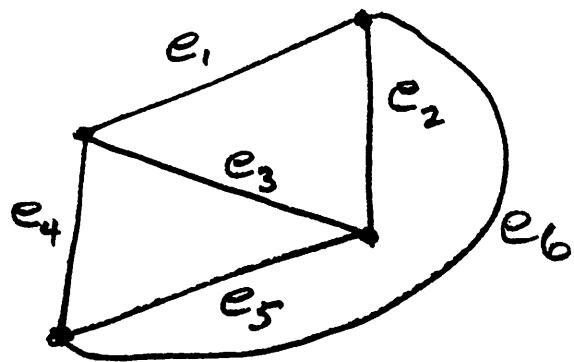
$$\overline{\sum_{k \in \mathbb{Z}} S_k} = \sum_{m \in \mathbb{Z}} S_m ; \quad \text{ARITHMETIC PROGRESSIONS.}$$

IN GENERAL IT IS RARE THAT  $\widehat{\mu}_X$  IS A SUM OF A DISCRETE SET OF POINT MASSES; WHAT IS CALLED A "CRYSTALLINE MEASURE" (MEYER).

- SELBERG'S TRACE FORMULA FOR LOCALLY SYMMETRIC  $X$ 'S GIVES THE FULL DISTRIBUTION  $\widehat{\mu}_X$  EXPLICITLY; THE RIEMANN - GUINAND EXPLICIT FORMULA IN THE THEORY OF ZETA FUNCTIONS GIVES SUCH A CRYSTALLINE LIKE STRUCTURE IF "RH" HOLDS.

- WE STICK TO  $X$  ONE DIMENSIONAL AND ALLOW IT TO HAVE A FINITE NUMBER OF POINT SINGULARITIES.

# METRIC OR QUANTUM GRAPHS:



$G$  COMBINATORIAL  
 CONNECTED GRAPH  
 $N$  EDGES  $e_j$   
 $M$  VERTICES  $U_k$

EQUIP THE EDGES WITH LENGTHS  $l_j$ ,  $j=1, 2, \dots, N$

TO GET A METRIC GRAPH  $X$  WHICH IS SMOOTH  
ON THE EDGES (INTERIOR) SINGULAR AT THE VERTICES.

$\Delta = \frac{d^2}{dx_j^2}$  ON FUNCTIONS  $\phi$  ON THE  
 EDGES W.R.T  $x_j$

FOR THE BOUNDARY CONDITIONS AT THE  
VERTICES WE CHOOSE <sup>THE</sup> NEUMANN OR KIRCHOFF  
CONDITION :

- $\phi$  IS CONTINUOUS AT THE  $U$ 'S

- $\sum_e \partial_e \phi(U) = 0$  FOR EACH  
VERTEX  $U$  AND  
 $e$  IS INWARD EDGE TO  $U$ .

WITH THIS A DEGREE ONE VERTEX CORRESPONDS TO THE USUAL NEUMANN CONDITION.

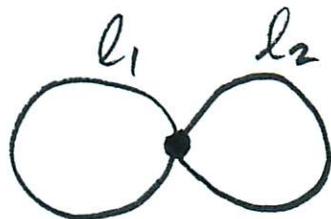
A DEGREE TWO VERTEX HAS  
A REMOVABLE SINGULARITY; SO ASSUME THERE ARE  
NO DEGREE TWO VERTICES.

$\Delta$  IS SELF ADJOINT AND HAS DISCRETE  $\mathbb{R}$  SPECTRUM IN  $\mathbb{R}$ .

- IT IS CONVENIENT TO DEFINE  $\overline{\text{SPEC}(X)}$  TO BE THE NON-ZERO ' $\mathbb{R}$ -SPECTRUM OF  $\Delta'$  AND TO INCLUDE 0 WITH MULTIPLICITY  $2+N-M$ .

EXAMPLE:

$$X =$$



; FIGURE EIGHT ,  $N=2, M=1$

$$\text{Spec}(X) = \left\{ \frac{2\pi k_1}{l_1}, \frac{2\pi k_2}{l_2}, \frac{2\pi k_3}{l_1+l_2} : k_1, k_2, k_3 \in \mathbb{Z} \right\}$$

WEYL LAW: FOR ANY  $X$  AS ABOVE

$$\# \{ \text{Spec}(X) \cap [-T, T] \} = \frac{2(l_1 + l_2 + \dots + l_N)}{\pi} T + O(1)$$

AS  $T \rightarrow \infty$ .

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SO  $\text{SPEC}(X)$  HAS A DENSITY IN  $\mathbb{R}$  WHICH IS THAT OF AN ARITHMETIC PROGRESSION AND  $\mu_X$  IS LOCALLY UNIFORMLY BOUNDED — THE NUMBER OF ATOMS IN AN INTERVAL OF FIXED LENGTH IS BOUNDED FROM ABOVE.

## COMPUTING $\text{SPEC}(X)$ :

ON THE EDGES AN EIGENCTION TAKES THE FORM  
 $\phi(x_j) = a e^{k_j x_j} + b e^{-k_j x_j}$ ; OUR BOUNDARY CONDITIONS  
 LEAD TO THE SECULAR DETERMINANT (KOTTOSI  
 SHILANSKY)

GIVEN THE UNDERLYING GRAPH  $G$  DEFINE  
 THE  $2N$  BY  $2N$  MATRICES INDEXED BY THE  
 ORIENTED EDGES  $e_1, \hat{e}_1, e_2, \hat{e}_2, \dots, e_N, \hat{e}_N$

$$U(z_1, \dots, z_N) = (u_{fg}) ; \quad u_{fg} = z_f s_{fg}$$

AND THE SCATTERING MATRIX

$$S = (s_{f,g}) ; \quad s_{fg} = \begin{cases} -\delta_{fg} + \frac{2}{\deg(v)} & \text{if } g \text{ follows } f \\ & \text{through } v \\ 0 & \text{otherwise} \end{cases}$$

HERE  $\deg(v)$  is its degree.

$S$  IS UNITARY.

## SPECTRAL OR SECULAR POLYNOMIAL OF $G$ :

$$P_G(z_1, z_2, \dots, z_N) := \det(I - U(z_1, z_2, z_N) S)$$

WHICH WE CONSIDER AS A LAURENT POLYNOMIAL  
 IN  $z_1, \dots, z_N$ .

# IMMEDIATE PROPERTIES OF $P_G$ :

(i)  $P_G(z)$  IS DEGREE  $2N$  AND IS OF DEGREE TWO IN EACH  $z_j$ .

(ii) LET  $P^*(z_1, \dots, z_N) = P(\bar{z}_1, \bar{z}_2, \dots, \bar{z}_N)$ . THEN BOTH  $P_G$  AND  $P_G^*$  ARE "D =  $\{z : |z| < 1\}$  STABLE" THAT IS THEY DON'T VANISH FOR ~~z~~ Z WITH  $z_j \in D$ , FOR ALL j (FOLLOWS FROM THE UNITARITY OF S).

• THE CONNECTION TO COMPUTING  $\text{SPEC}(X)$  IS:  
(BARRA/GASPARD)

$$\text{SPEC}(X) = \left\{ \text{ZEROS WITH MULTIPLICITY OF } k \rightarrow P_G(e^{ikl_1}, e^{ikl_2}, \dots, e^{ikl_N}) \right\}.$$


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CLEARLY THE ALGEBRAIC VARIETY

$$Z_G = \{z : P_G(z) = 0\} \subset (\mathbb{C}^*)^N$$

PLAYS A CENTRAL ROLE AND IN PARTICULAR THE QUESTION OF <sup>THE</sup> FACTORIZATION OF  $P_G$  (OVER  $\mathbb{C}$ ).

## SPECIAL EXAMPLES:

[7]



$$\text{FIGURE EIGHT; } P_G(z_1, z_2) = (z_1 - 1)(z_2 - 1)(z_1 z_2 - 1)$$

$\mathbb{Z}_G$  IS A UNION OF THREE SUBTORI.



$G = W_3$ ; OR MORE GENERALLY  $W_N$ :



$$P_G(z_1, z_2, z_3) = \left( z_1 z_2 z_3 + \frac{1}{3} (z_1 z_2 + z_2 z_3 + z_1 z_3) - \frac{1}{3} (z_1 + z_2 + z_3) - 1 \right) \left( z_1 z_2 z_3 - \frac{1}{3} (z_1 z_2 + z_2 z_3 + z_1 z_3) - \frac{1}{3} (z_1 + z_2 + z_3) + 1 \right)$$

FACTORIZATION CORRESPONDS TO THE SYMMETRY: REFLECTION THRU THE MIDPOINT OF EACH EDGE.

THEOREM 1 (KURASOV-S):

ASSUME THAT  $G$  IS NOT  $W_N$  THEN

$$(i) \quad P_G(z) = Q_G(z) \cdot \prod_{\text{E A LOOP}} (z_e - 1)$$

WHERE THE PRODUCT IS OVER ALL LOOP EDGES IN  $G$ ,  
AND  $Q_G(z)$  IS ABSOLUTELY IRREDUCIBLE.

(ii)  $Z_{Q_G}$  DOES NOT CONTAIN AN  $N-1$   
DIMENSIONAL SUBTORUS OR TRANSLATE THEREOF  
UNLESS  $G$  IS THE FIGURE EIGHT.

REMARK: PART (i) WAS CONJECTURED  
BY COLIN DE VERDIÈRE.

# X IS A METRIC GRAPH ON G

18

## THEOREM 2 (K-S) ADDITIVE STRUCTURE OF SPEC(X)

$$(i) \text{SPEC}(X) = L_1(X) \sqcup L_2(X) \dots \sqcup L_v(X) \sqcup N(X) \quad (\text{WITH MULT})$$

WHERE  $L_j(X)$  IS A FULL INFINITE ARITHMETIC PROGRESSION AND THE NON-LINEAR PART  $N(X)$  IF NOT EMPTY, SATISFIES

- $\#(N(X) \cap [-T, T]) = \alpha T + O(1)$  FOR  $T$  LARGE  
WITH  $\alpha = \frac{2}{\pi} (l_1 + l_2 + \dots + l_N) - \left(\frac{1}{d_1} + \frac{1}{d_2} + \dots + \frac{1}{d_v}\right)$ ,  $d_j$   
 $\alpha > 0$ .

- $\dim_{\mathbb{Q}} \text{SPAN}(N(X)) = \infty$
- THERE IS  $C = C(G) < \infty$  SUCH THAT ANY ARITHMETIC PROGRESSION IN  $\mathbb{R}$  MEETS  $N(X)$  IN AT MOST  $C$  POINTS.
- $\text{SPEC}(X)$  IS UNIFORMLY DISCRETE IFF  $\sum_G \cap T(l_1, \dots, l_N)$  IS A SMOOTH  $\dim T - 1$  DIMENSIONAL MANIFOLD WHERE  $T(l_1, \dots, l_N)$  IS THE REAL CONNECTED TORUS WHICH IS THE TOPOLOGICAL CLOSURE OF  $k \mapsto (e^{il_1 k}, \dots, e^{il_N k})$ ,  $k \in \mathbb{R}$ , IN  $(S^1)^N$ .
- IF  $l_1, l_2, \dots, l_N \in \mathbb{Q}$  (PROJECTIVELY) THEN  $N(X) = \emptyset$ .  
IF  $l_1, l_2, \dots, l_N$  ARE LINEARLY INDEPENDENT OVER  $\mathbb{Q}$ , THE EXCEPT FOR THE FIGURE EIGHT  $\gamma$  IS EQUAL TO THE NUMBER OF LOOPS IN  $G$ ,  $\dim_{\mathbb{Q}} (\text{SPEC } X) = \infty$ , AND IF  $G$  HAS NO LOOPS,  $\text{SPEC}(X) = N(X)$ .

# SUMMATION FORMULA FOR X

19

FOR METRIC GRAPHS THE SUMMATION FORMULA TAKES AN EXACT FORM (ROTH, KOTTOS / SMILANSKY, KURASOV )

$$\sum_{k \in \text{SPEC}(X)} \delta_k = \frac{2(\ell_1 + \dots + \ell_n)}{\pi} \delta_0 + \frac{1}{\pi} \sum_{p \in P} \ell(p \text{ prim } p) \left[ S_v(p) \delta_{\ell(p)} + \overline{S_v(p)} \delta_{-\ell(p)} \right]$$

WHERE :

- $P$  IS THE SET OF ORIENTED PERIODIC PATHS IN  $G$  UP TO CYCLIC EQUIVALENCE (BACKTRACKING ALLOWED)
- $\ell(p)$  IS THE LENGTH OF THE PATH
- $\text{prim}(p)$  IS THE PRIMITIVE PART OF  $p$  (<sup>GOING AROUND ONCE</sup>)
- $S_v(p)$  IS THE PRODUCT OF THE SCATTERING COEFF AT THE VERTICES ENCOUNTERED ON TRAVERSING  $p$ .

$\widehat{\mu}_x$  IS SUPPORTED IN  
 $\Delta = \{m_1 \ell_1 + m_2 \ell_2 + \dots + m_N \ell_N : m_j \geq 0 \text{ in } \mathbb{Z}\}$   
 WHICH IS A DISCRETE SET, BUT NOT LOCALLY UNIFORMLY BOUNDED.

# CRYSTALLINE MEASURES (ALSO KNOWN AS FOURIER QUASI-CRYSTALS)

$$\mu = \sum_{\lambda \in \Lambda} a_\lambda \delta_\lambda \quad ; \quad \hat{\mu} = \sum_{s \in S} b_s \delta_s$$

WITH  $\mu$  TEMPERED AND  $\Lambda, S$  DISCRETE IN  $\mathbb{R}$ .

MAIN EXAMPLE: POISSON SUM OR A FINITE UNION OF SUCH ~~SUMS~~ CALLED DIRAC COMBS.

ARE THERE OTHERS?

• EXPLICIT FORMULA IN PRIME NUMBER THEORY  
(RIEMANN, GUINAND, WEIL, ... )

$\chi_1, \chi_2$  REAL <sup>EVEN</sup> DIRICHLET CHARACTERS OF CONDUCTORS  
 $q_1, q_2$ ; DENOTE THE NONTRIVIAL ZEROS OF  $L(s, \chi_1), L(s, \chi_2)$  BY  
 $\frac{1}{2} + i \gamma_{\chi_j}$

$$\mu := -\frac{1}{2} \sum_{\gamma_{\chi_1}} \delta_{\gamma_{\chi_1}} + \frac{1}{2} \sum_{\gamma_{\chi_2}} \delta_{\gamma_{\chi_2}}$$

$$\hat{\mu} = \frac{1}{2} \log(q_1/q_2) \delta_0 + \sum_{p, m \geq 1} \frac{(\chi_1(p^m) - \chi_2(p^m)) \log p}{p^{m/2}} \int_{m \log p}$$

NOTE:  $\mu$  IS TEMPERED IFF RH HOLDS,  $|\hat{\mu}|$  IS NOT TEMPERED.

• DYSON'S "BIRDS AND FROGS" SUGGESTS THE CLASSIFICATION OF ONE DIMENSIONAL CRYSTALLINE MEASURES AS A FROGS APPROACH TO RH.

THERE ARE THEOREMS GIVING CONDITIONS ON  $\underline{\mu}$   
 $\mu$  WHICH ENSURE THAT  $\mu$  IS A DIRAC COMB  
( MEYER, CORDOBA, LEV-OLEVSKII, FAVOROV, ... )

Y. MEYER: IF  $a_1 \in F$  WITH  $F$  A FINITE SET, AND  
 $|\hat{\mu}|$  IS TRANSLATION BOUNDED ( $\sup_{x \in \mathbb{R}} |\hat{\mu}|(x + [0,1]) < \infty$ )  
THEN  $\mu$  IS A DIRAC COMB.

OUR  $\mu_x$ 'S WHEN  $N(x) \neq \emptyset$  ARE FAR FROM DIRAC COMBS.

THEOREM 3 (K-S): ASSUME THAT  $N(x) = \text{SPEC}(x)$

- (i)  $\mu_x$  IS A CRYSTALLINE MEASURE
  - (ii)  $\mu_x \geq 0$ ,  $|\hat{\mu}_x|$  IS TEMPERED
  - (iii)  $\dim_{\mathbb{Q}} \Lambda = \infty$ ;  $\dim_{\mathbb{Q}} S < \infty$
  - (iv)  $\Lambda = \text{SPEC}(x)$  MEETS EVERY ARITHMETIC PROGRESSION  
IN AT MOST  $C(G)$  POINTS.
- TRUE FOR ANY  $x$

OTHER CONSTRUCTIONS OF CRYSTALLINE MEASURES  
WHICH ARE NOT DIRAC COMBS HAVE BEEN GIVEN  
( GUINAND, MEYER, KOLOUNTZAKIS, LEV-OLEVSKII ),  
HOWEVER THE  $\mu_x$ 'S IN THEOREM 3 ARE THE  
FIRST SUCH WHICH ARE POSITIVE AS WELL  
AS SATISFYING OTHER TECHNICAL CONDITIONS .

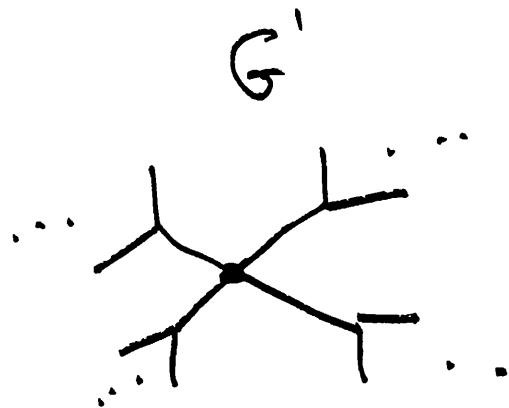
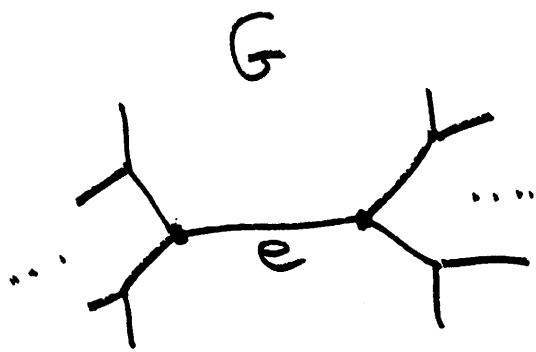
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ONE CAN PRODUCE SIMILAR SUCH EXOTIC CRYSTALLINE MEASURES USING ANY SEVERAL VARIABLE  $P(z_1, \dots, z_n)$  FOR WHICH  $P$  AND  $P'$  ARE D-STABLE. FOR EXAMPLE FROM SUCH POLYNOMIALS ARISING IN THE LEE-YANG THEOREM AND THE THEORY OF HYPERBOLIC POLYNOMIALS, WHERE THE PROOF OF STABILITY IS NOT A CONSEQUENCE OF A DETERMINANTAL FORMULA AND UNITARITY.

## OUTLINE OF PROOFS:

LII

THE PROOF OF THEOREM 1 IS BASED ON  
EDGE CONTRACTION



$G$  IS CONTRACTED TO  $G'$  BY REMOVING  $e$   
AND IDENTIFYING THE END POINTS. WE ALLOW  
THE INTRODUCTION DEGREE TWO VERTICES, LOOPS...

• THE KEY LEMMA ASSERTS THAT IN  
SUCH A CONTRACTION  $P_G$  AND  $P_{G'}$  ARE  
RELATED BY SPECIALIZING THE VARIABLE  $z_e$  TO 1.

IN THIS WAY ONE CAN FOLLOW THE FACTORIZATION  
PROPERTIES OF  $P_G$  UNDER REPEATED CONTRACTION.  
THE "WATER MELLON" GRAPHS  $^{WN}$  APPEAR AS  
END POINTS THAT NEED SPECIAL ATTENTION,  
AND OTHERWISE ONE NAVIGATES  $^{(SMALL)}$  THE  
CONTRACTIONS TO A FINITE  $^A$  NUMBER OF  
CONFIGURATIONS THAT ARE EXAMINED DIRECTLY.

THEOREM 2 IS BASED ON SOME  
ADVANCED RESULTS IN DIOPHANTINE  
ANALYSIS ON TORI.

### LANG'S $G_m$ CONJECTURES:

THERE ARE TWO FLAVORS ; VERTICAL  
AND HORIZONTAL, WE NEED BOTH.

$G_m$  = MULTIPLICATIVE GROUP  $\mathbb{C}^*$

$T = (\mathbb{C}^*)^N$  IS AN N-TORUS , IT IS  
AN ALGEBRAIC GROUP UNDER COORDINATE PRODUCT.

$V \subset (\mathbb{C}^*)^N$  AN ALGEBRAIC  
SUBVARIETY

GIVEN BY THE  
ZERO SET OF LAURENT  
POLYNOMIALS.

$\text{tor}(T) = \{(z_1, \dots, z_N) : z_j \text{ IS A ROOT OF  
UNITY FOR ALL } j=1, \dots, N\}$

$\text{tor}(T)$  CONSISTS OF ALL POINTS IN T  
OF FINITE ORDER.

## VERTICAL LANG CONJ:

GIVEN  $VCT$  AS ABOVE, THERE ARE FINITELY MANY SUBTORI OR TRANSLATES THEREOF BY TORSION POINTS,  $T_1, T_2, \dots, T_\nu$  CONTAINED IN  $V$  SUCH THAT

$$\text{tor}(T) \cap V = \text{tor}(T) \cap (T_1 \cup T_2 \dots \cup T_\nu).$$


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SO WHAT APPEARS TO BE A NON-LINEAR COMPLICATED PROBLEM IS IN FACT VERY STRUCTURED IN THAT TORSION POINTS CAN ONLY LIE ON A FINITE NUMBER OF COSETS OF SUBGROUPS.

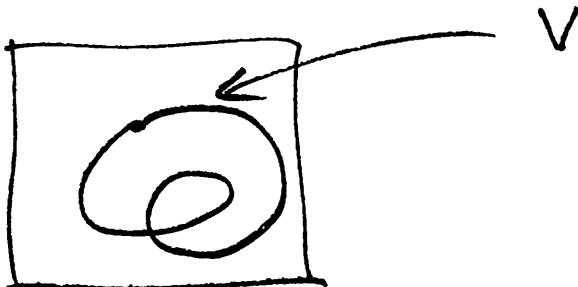
NOTE THE  $T_j$ 's MAY BE ZERO DIMENSIONAL IN WHICH CASE THEY ARE TORSION POINTS.

THERE ARE A NUMBER OF PROOFS OF THIS VERTICAL CASE AND THE PROOF CAN BE MADE EFFECTIVE IN THAT THE  $T_j$ 's ARE DETERMINED.

ONE PROOF PROCEEDS AS FOLLOWS :

N=2:

$$V \cap (S' \times S') \subset V \cap T$$



IF  $\sigma = (S_1, S_2) \in \text{tor}(T) \cap V$ ,  $S_1^{m_1} = 1$ ,  $S_2^{m_2} = 1$

AND  $\sigma \in \text{GAL}(K(S_1, S_2)/K)$  WHERE  $K$  IS  
THE FIELD OF DEFINITION OF  $V$ ; THEN

$$\sigma((S_1, S_2)) \in \text{tor}(T) \cap V.$$

NOW THESE GALOIS ORBITS GROW FAST  
AS THE ORDER OF  $\sigma$  INCREASES

$$\deg[\mathbb{Q}(S_m) : \mathbb{Q}] = \phi(m) \gg m^{1-\epsilon}.$$

HENCE IF ONE CAN ESTABLISH A SUITABLE  
NON TRIVIAL UPPER BOUND FOR THE  
NUMBER OF TORSION POINTS OF SUCH  
ORDER ON  $V$  (ASSUMING  $V$  DOES NOT CONTAIN  
SUBTORI) THEN ONE IS LED TO THERE BEING  
NO SUCH POINTS OF LARGE ORDER.

SUCH UPPER BOUNDS CAN BE GIVEN IN THIS  
TORUS CASE BY ELEMENTARY METHODS.

THIS UPPER BOUND VS GALOIS ORBIT  
 METHOD HAS PROVEN TO BE ROBUST FOR  
 OTHER VERTICAL PROBLEMS:

- BOMBIERI-PILA; GIVE UPPER BOUNDS SHARP UP TO EXPONENT FOR TRANSCENDENTAL CURVES IN THE PLANE; FOR RATIONAL POINTS
- PILA-WILKIE GIVE SHARP UPPER BOUNDS FOR RATIONAL POINTS ~~PROOF~~ ON THE TRANSCENDENTAL PARTS OF DEFINABLE SETS IN O-MINIMAL STRUCTURES IN  $\mathbb{R}^n$ .
- PILA-ZANNIER PROVE THE ABELIAN VARIETY VERSION OF LANG'S CONJ, ALSO KNOWN AS THE MANIN-MUMFORD CONJ.
- THE VERTICAL ANALOGUE IN SHIMURA VARIETIES OF TORSION POINTS ARE "CM-POINTS" AND THESE LIE ON FINITELY MANY SHIMURA SUBVARIETIES "ANDRE-OORT" CONJ.
- PROVED FOR PRODUCTS OF MODULAR CURVES BY PILA
- PROVED FOR  $\mathbb{Q}_p$  BY PILA AND TSIMERMAN.

# HORIZONTAL LANG $G_m$ CONT FOR $T = (\mathbb{C}^*)^N$

16

IF  $VCT$  IS AS ABOVE AND  $\Gamma$  IS A FINITELY GENERATED SUBGROUP OF  $T$ , THERE FINITELY MANY TRANSLATES OF SUBTORI  $T_1, T_2, \dots, T_r$  IN  $V$ , SUCH THAT

$$\Gamma \cap V = \Gamma \cap (T_1 \cup T_2 \cup \dots \cup T_r).$$

THIS LIES DEEPER AND IT WAS PROVEN BY M. LAURENT. THE KEY INPUT IS THE SCHMIDT SUBSPACE THEOREM WHICH IS A STRIKING HIGHER DIMENSIONAL VERSION OF THE THUE-SIEGEL-ROTH THEOREM.

## SIMPLEST VERSION (SCHMIDT)

LET  $L_1(x), L_2(x), \dots, L_n(x)$  BE  $n$  LINEARLY INDEPENDENT LINEAR FORMS IN  $(x_1, \dots, x_n) = x$  WITH REAL ALGEBRAIC COEFFICIENTS; THEN FOR  $\epsilon > 0$  THE SET OF SOLUTIONS WITH  $x \in \mathbb{Z}^n$  OF

$$|L_1(x) L_2(x) \dots L_n(x)| < \|x\|^{-\epsilon}$$

LIE IN FINITELY MANY PROPER  $\mathbb{Q}$ -LINEAR SUBSPACES OF  $\mathbb{Q}^n$ .

NOTE: THE PROOF YIELDS AN EFFECTIVE BOUND FOR THE NUMBER OF SUBSPACES BUT NOT FOR THEIR DETERMINATION

## VERTICAL AND HORIZONTAL:

TO COMBINE THE TWO LET  $\bar{\Gamma}$  BE THE DIVISION GROUP OF  $\Gamma$

$$\bar{\Gamma} = \{ z \in T : z^\ell \in \Gamma \text{ FOR SOME } \ell \geq 1 \}$$

(SO  $\bar{I} = \text{tor}(T)$ ).

THE ULTIMATE VERSION WHICH IS ALSO UNIFORM OVER THE DEFINING FIELDS AND QUANTITATIVE IN THE RANK  $r$  OF  $\Gamma$  IS DUE TO EVERSTEIN / SCHLICKEWIEI / SCHMIDT:

THEOREM:  $\forall C(\mathbb{F}^*)^N$ ,  $\Gamma$  A FINITELY GENERATED SUBGROUP OF RANK  $r$ ; THERE ARE  $T_1, T_2, \dots, T_r$  TRANSLATES OF SUBTORI CONTAINED IN  $V$  SUCH THAT

$$\bar{\Gamma} \cap V = \bar{\Gamma} \cap (T_1 \cup T_2 \cup \dots \cup T_r)$$

AND  $V \leq (C(V))^r$ .

REMARK: THE CONSTANT  $C(V)$  CAN BE GIVEN EXPLICITLY, HOWEVER THE ACTUAL SAY ZERO DIMENSIONAL  $T_j$ 'S CANNOT IN GENERAL BE DETERMINED BY THIS PROOF.

THE PROOF INVOLVES SPECIALIZATION  
 ARGUMENTS REDUCING TO  $\Gamma \subset T(\bar{\mathbb{Q}})$   
 AND ABSOLUTE VERSIONS OF THE  
 SCHMIDT SUBSPACE THEOREM, AS WELL  
 AS A STUDY OF POINTS OF SMALL  
 HEIGHT AND LARGE HEIGHT. . . .

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AFTER ANALYZING OUR SUBVARIETIES  
 $Z_G$  AND APPLYING THE DIOPHANTINE  
 ANALYSIS WE ARRIVE AT:

GIVEN  $G$  THERE IS  $\epsilon(G) > 0$   
 SUCH THAT FOR ANY  $t$  DISTINCT  
 POINTS IN  $N(X)$ ,  $x_1, x_2, \dots, x_t$   
 $\dim_{\mathbb{Q}} \text{span}(x_1, \dots, x_t) \geq \epsilon(G) \log t$ .

WHICH LEADS TO THEOREM 2.

We conclude that if a hypertoric factor is a degree two in any of the variables it depends on, then it is a degree two polynomial in all other variables it depends on and is given by

$$(49) \quad T(z_1, \dots, z_n) = z_1^2 z_2^2 \dots z_m^2 - 1,$$

which is obviously factorizable as in the case of one variable

$$(z_1 z_2 \dots z_m - 1)(z_1 z_2 \dots z_m + 1).$$

We conclude that any (irreducible) hypertoric factor is a first degree polynomial in all variables it depends on.  $\square$

Let us study how first order hypertoric factors may look like.

**Theorem 5.** *Let  $G$  be a finite connected graph without degree two vertices, not a segment and not figure-eight graph, then any irreducible hypertoric factor in the secular polynomial is of the form*

$$(50) \quad T(\vec{z}) = z_j - 1,$$

and occurs if and only if the edge  $e_j$  forms a loop in  $G$ . If  $G$  is a segment or a figure-eight graph, then the secular polynomials are

$$(51) \quad P(z_1) = (z_1 - 1)(z_1 + 1) \quad \text{and} \quad P(z_1, z_2) = (z_1 - 1)(z_2 - 1)(z_1 z_2 - 1)$$

respectively and contain additional hypertoric factors  $z_1 + 1$  and  $z_1 z_2 - 1$ .

*Proof.* Consider arbitrary connected graph  $G$  which is not a watermelon and the corresponding secular polynomial. Let  $G$  have  $d$  loops formed by  $e_1, \dots, e_d$ , then the secular polynomial contains hypertoric factors  $(z_j - 1)$ ,  $j = 1, 2, \dots, d$  in accordance with Theorem 4. The irreducible factor  $Q_G(\vec{z})$  appearing in the factorisation (45) is a first degree polynomial in  $z_1, \dots, z_d$  and second degree in all other variables. But Lemma 5 states that any hypertoric factor is a first degree polynomial in all variables it depends on. Hence  $d$  coincides with the number of edges in  $G$ , i.e. all edges in  $G$  form loops. In other words,  $G$  is a flower graph  $\mathbf{F}_d$ . The factor  $Q_{\mathbf{F}_d}$  is hypertoric if and only if  $d = 1, 2$  when  $G$  is a loop or a figure-eight graph.

In the case  $G$  is a watermelon the two factors are not hypertoric unless  $d = 2$  corresponding to the loop graph on two edges, which contains degree two vertices and therefore is excluded.  $\square$

## 8. ARITHMETIC PROPERTIES OF THE SPECTRUM

### 9. SPECTRAL MEASURES AND CRYSTALLINE MEASURES.

The spectra of metric graphs yield exotic measures related to the theory of quasi-crystals. We review briefly some of the relevant theory following the recent paper [35] before examining the properties of the spectral measures of metric graphs.

**Definition 4.** ([35]) <sup>Me16</sup> A tempered distribution  $\mu$  is a crystalline measure if  $\mu$  and  $\hat{\mu}$  are of the form

$$(52) \quad \mu = \sum_{\lambda \in \Lambda} a_{\lambda} \delta_{\lambda}, \quad \hat{\mu} = \sum_{s \in S} b_s \delta_s,$$

with  $\Lambda$  and  $S$  discrete subsets of  $\mathbb{R}$ .

SecArithmetic

SecCrystalline

The basic examples of such measures come from the Poisson summation formula which asserts that  $\mu = \sum_{m \in \mathbb{Z}} \delta_m = \hat{\mu}$ . Finite linear combinations of these are called Dirac combs and for these  $\Lambda$  and  $S$  are finite unions of arithmetic progressions.

Guinand [18] pointed to other crystalline measures and in particular ones coming from the explicit formula in the theory of prime numbers. If  $\chi_1, \chi_2$  are primitive even real Dirichlet characters of conductors  $q_1$  and  $q_2$  and the non-trivial zeros of Dirichlet functions  $L(s, \chi_1)$  and  $L(s, \chi_2)$  are denoted by  $\frac{1}{2} + i\gamma^{(\chi_1)}$  and  $\frac{1}{2} + i\gamma^{(\chi_2)}$ , then assuming the Riemann hypothesis (that is that the  $\gamma$ 's are real) we have that for

$$\begin{aligned} \mu &= -\frac{1}{2} \sum_{\gamma^{(\chi_1)}} \delta_{\gamma^{(\chi_1)}} + \frac{1}{2} \sum_{\gamma^{(\chi_2)}} \delta_{\gamma^{(\chi_2)}}, \\ \hat{\mu} &= \frac{1}{2} \log \left( \frac{q_1}{q_2} \right) \delta_0 + \sum_{p, m} \frac{(\chi_1(p^m) - \chi_2(p^m)) \log p}{p^{m/2}} \delta_{m \log p}, \end{aligned} \quad (53)$$

the last sum being over  $m \geq 1$  and  $p$  prime. Clearly  $\mu$  is a crystalline measure. Similar crystalline measures can be constructed from the Selberg trace formula (and without any unproven hypotheses).

While  $\mu$  is tempered in (53) and hence so is  $\hat{\mu}$ , note that  $|\hat{\mu}|$  is not tempered, since there is an exponential in  $x$  number of  $\log p$  in an interval  $[x, x+1]$ . The same applies to the crystalline measures coming from the Selberg trace formula. For our (one-dimensional) metric graphs the support of  $S$  is contained in the set of the lengths of the periodic orbits and  $\mu$  is tempered, and even though there is an exponential number of closed orbits of a given large length inside  $[x, x+1]$ ,  $|\hat{\mu}|$  is tempered.<sup>2</sup> This points to a fundamental difference to the crystalline measures coming from the explicit formula.

One of the central questions is to understand the crystalline measures which are not Dirac combs. There is a number of results which show that under some additional conditions on  $\mu$  and  $\hat{\mu}$ , that  $\mu$  must be a Dirac comb. A couple of these that we will make use of are:

- (1) [34] If  $\mu$  is a crystalline measure and  $a_\lambda$  for  $\lambda \in \Lambda$  takes values in a finite set and  $|\hat{\mu}|$  is translation bounded (that is  $\sup_{x \in \mathbb{R}} |\hat{\mu}|(x + [0, 1])$  is finite), then  $\mu$  is a Dirac comb (a key ingredient in the proof is the idempotent theorem in [8]).
- (2) [32] [Theorem 2.1] If  $\mu$  is a positive Fourier quasi-crystal and  $S$  is uniformly discrete (that is  $|s - s'| \geq \epsilon > 0$  for some  $\epsilon > 0$  and all  $s \neq s'$  in  $S$ ) then  $\mu$  is a Dirac comb.

Various constructions of Fourier quasi-crystals from Dirac combs have been given recently [22, 31, 35, 36]. These are gotten from Voronoi summation formulae in odd dimensions, projections of higher dimensional lattices and delicate limits of Dirac combs. A basic question that has been open for some time is whether a positive crystalline measure or a Fourier quasi-crystal must be a Dirac comb. The next theorem gives the properties of the spectral measure  $\mu_\Gamma$  of a metric graph  $\Gamma$ . It provides an answer to the last question as well as a number of others. Before stating the theorem we recall a few more definitions. A *distribution  $\mu$  is almost periodic* if  $\mu * \phi$  is a Bohr almost periodic function for every  $C^\infty$  function  $\phi$  of compact support. A *measure  $\mu$  is almost periodic* if  $\mu * \phi$  is Bohr almost periodic

<sup>2</sup>Crystalline measures with  $|\mu|$  and  $|\hat{\mu}|$  tempered are often called ‘Fourier quasi-crystals’.

function for every continuous  $\phi$  of compact support. Finally a discrete subset of  $\mathbb{R}$  is a *Delone set* if it is uniformly discrete and relatively dense in  $\mathbb{R}$ , that is every interval of length  $R$  for some large enough  $R$  meets the set.

Our measures  $\mu_\Gamma = \sum_{k \in \text{spec}(\Gamma)} \delta_k$  enjoy the following set of properties under specialization of  $\Gamma$ :

### Theorem 6.

- (1)  $\mu_\Gamma$  is crystalline.
- (2)  $\mu_\Gamma \geq 0$ ,  $a_\lambda$  takes on only finitely many positive integer values.  $|\mu_\Gamma| (= \mu_\Gamma)$  is translation bounded and distribution almost periodic.
- (3)  $|\hat{\mu}_\Gamma|$  is tempered.
- (4)  $\dim_{\mathbb{Q}} S < \infty$ .
- (5) If  $N(\Gamma) \neq \emptyset$  then  $\dim_{\mathbb{Q}} \Lambda = \infty$  and  $|\hat{\mu}_\Gamma|$  is not translation bounded,  $\mu_\Gamma$  is not an almost periodic measure, and  $S$  is not a Delone set.
- (6) If  $N(\Gamma) = \text{spec}(\Gamma)$  then there is  $C = C(G) < \infty$ , such that  $\Lambda$  contains no more than  $C$  elements in any arithmetic progression in  $\mathbb{R}$ .
- (7) If  $T$  is a connected subtorus of  $S^N$  and  $\mathbf{Z}_T$  is the restriction of  $\mathbf{Z}_G$  to  $T$ , is smooth, then for any  $(\ell_1, \dots, \ell_N) \in T$  the support  $\Lambda$  of  $\mu_\Gamma$  is a Delone set. Moreover if  $G$  is a tree then  $a_\lambda = 1$  for  $\lambda \in \Lambda$ , that is  $\mu_\Gamma$  is “idempotent”.

The theorems in the earlier sections describe when  $\Gamma$  satisfies the conditions in the theorem including ones satisfying all conditions. Positive crystalline measures which are not Dirac combs are provided by any  $\mu_\Gamma$  satisfying (5), answering the last questions in [35]. Part 3 of Question 11.2 in [32] asks for such a positive measure for which every arithmetic progression meets  $\Lambda$  in a finite set, this is provided by  $\mu_\Gamma$ 's with  $\Gamma$  satisfying (6). For  $\Gamma$  satisfying (7) the support of  $\mu_\Gamma$  is Delone set but the support of  $\hat{\mu}$  is not which answers the other question on page 3158 of [35] and Part 2 of Question 11.2 in [32]. Finally the idempotent measures in (7) give Bohr almost periodic Delone sets which are not ideal crystals answering Problem 4.4 in [28].

## 10. PERSPECTIVES

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